



STITES & HARBISON PLLC
ATTORNEYS

RECEIVED

AUG 08 2002

TECH CENTER 1600-2300

**COPY OF PAPERS
ORIGINALLY FILED**

1614
SunTrust Center
404 Church Street
Suite 800
Nashville, TN 37203-0378
615 244-8100
615 250-0071 FAX
www.stites.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Richard S. Myers, Jr.
(615) 782-2333
richard.myers@stites.com

Applicant: Rosenthal, et al.
Serial No.: 09/864,728
Filed: May 24, 2001
For: Linker Arm for Nanocrystals and Compounds Thereof
Group Art Unit: 1614
Examiner: Not yet assigned
Attorney's Docket No. 11672N/020878
Customer No.: 32885

SMALL ENTITY TRANSMITTAL FORM

Box Non-Fee Amendment
Assistant Commissioner of Patents
Washington, DC 20231

July 29, 2002

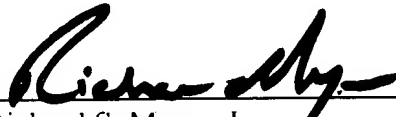
Dear Sir:

1. Transmitted herewith is a Preliminary Amendment in the above-identified application.
2. Small Entity Status remains proper for this Application.
3. The enclosed document is being transmitted via the certificate of mailing provisions of 37 C.F.R. § 1.8.
4. There is no fee required for this Preliminary Amendment.

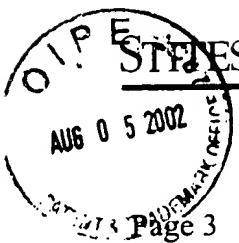
Page 2

If the Examiner has any questions regarding this correspondence, or the Application in general, he is respectfully invited to contact the undersigned.

Respectfully submitted,



Richard S. Myers, Jr.
Registration No. 42,022
STITES & HARBISON
424 Church Street, Ste 1800
Nashville, TN 37219-2376
(615) 244-5200
Attorney for Applicant



STEVES & HARBISON PLLC
ATTORNEYS

COPY OF PAPERS
ORIGINALLY FILED

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Small Entity Transmittal Form and enclosures (Preliminary Amendment and Return Receipt Postcard) are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

on July 29, 2002.

Richard S. Myers, Jr.
Registration No. 42,022

11672N:020878:497540:1:NASHVILLE